#### THE UNITED STATES PATENT AND TRADEMARK OFFICE

applicant

He, et al.

Application No.:

10/609

Filed:

06/27/2003

Title: Electronic Module Design to Maximize the Volume Efficiency in a Miniature Medical

Device

Attorney Docket No.: AB-233U4

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit: November 16, 2004

Typed Name:

Rose Avila

### RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This is in response to a Notice to File Missing Parts of Application under 37 CFR 1.53(b). Enclosed is a copy of said Notice and the following documents to complete the filing requirements of the above-identified application.

- (X) Copy of Fully Executed Declaration and Power of Attorney for inventors Tom Xiaohai He, Matthew I. Haller, Jordi Parramon, and Goran N. Marnfeldt, filed with the U.S. Patent Office on October 29, 2003. The above-identified application is the same application which the inventors executed by signing the enclosed declaration.
- (X) Copy of Applicant's Response to Notice to File Missing Parts of Application, mailed on October 29, 2003 and received by the U.S. Patent Office on October 31, 2003 which includes a copy of a Return Receipt Postcard received by the U.S. Patent Office on October 31, 2003; and a copy of a fully executed Oath/Declaration from inventors Tom Xiaohai He, Matthew I. Haller, Jordi Parramon, and Goran N. Marnfeldt.
- (X) Copy of Withdrawal Notice found in PAIR, mailed on October 18, 2004. Please note Applicant has yet to receive a copy of this Notice.

Please note the Missing Parts Surcharge of \$130.00 was paid to the USPTO when Applicant's First Response to Missing Parts was filed i.e., on October 31, 2003. A copy of Applicant's Deposit Account Statement dated November 2003 clearly shows the charge of \$130.00 posted on November 6, 2003. A copy of the Statement is enclosed.

Correspondence Address: Advanced Bionics Corporation 12740 San Fernando Rd. Sylmar, CA 91342

Respectfully submitted,

(X) Customer Number: 23845

Bryant R. Gold Reg. No. 29,715

Date: November 16, 2004 Telephone No.: 760-788-8138 NOV 1 9 2004

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

He, et al.

Application No.:

10/609,542

Filed:

06/27/2003

Title: <u>Electronic Module Design to Maximize</u> the Volume Efficiency in a Miniature Medical

**Device** 

Attorney Docket No.: AB-233U4

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit: October 29, 2003

Typed Name:

Rose Avila

Rose Avila

### RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This is in response to a Notice to File Missing Parts of Application under 37 CFR 1.53(b). Enclosed is a copy of said Notice and the following documents and fees to complete the filing requirements of the above-identified application.

- (X) Executed Declaration and Power of Attorney for inventors Tom Xiaohai He, Matthew I. Haller, Jordi Parramon, and Goran N. Marnfeldt. The above-identified application is the same application which the inventor executed by signing the enclosed declaration.
- (X) Missing Parts Surcharge \$130.00

Please charge \$\\_130.00\$ as The Missing Parts Surcharge to Deposit Account No. \(\frac{50-0648}{2}\) pursuant to 37 CFR \(\frac{1}{2}\) 1.25. At any time during the pendency of this application, the Commissioner is hereby authorized to charge any fees required under 37 CFR \(\frac{1}{2}\) 1.16 or 1.17 or credit any overpayment to this Deposit Account. A duplicate copy of this sheet is enclosed for fee processing against this Deposit Account.

Correspondence Address: Advanced Bionics Corporation

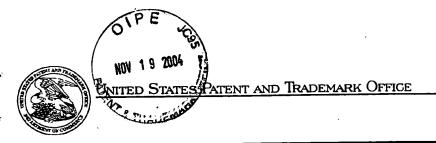
12740 San Fernando Rd. Sylmar, CA 91342 Respectfully submitted,

(X) Customer Number: 23845

Yaura Haburay Bishop

Reg. No. 47424

Date: October 29, 2003 Telephone No.: 661-362-1906



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. DOX 1450 Alexandra, Vinginia 22313-1450 www.uspio.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/609,452

06/27/2003

Tom Xiaohai He

AB-233U4

**CONFIRMATION NO. 6610** 

000023845 ADVANCED BIONICS CORPORATION 25129 RYE CANYON ROAD VALENCIA, CA 91355

**FORMALITIES LETTER** 

\*OC000000014103950\*

Date Mailed: 10/18/2004

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

#### **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

• The oath or declaration is unsigned.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice  $\underline{MUST}$  be returned with the reply.

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER OF PATENTS AND TRADEMARKS P.C. Des 1-50 Alexandia, Vignuia 22313-1150 www.uspio.gov

APPLICATION NUMBER

SYLMAR, CA 91342

000023845

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/609,452

ADVANCED BIONICS CORPORATION

12740 SAN FERNANDO ROAD

06/27/2003

Tom X. He

AB-233U4

**CONFIRMATION NO. 6610** 

**FORMALITIES LETTER** 

\*OC000000010887936\*

Date Mailed: 09/17/2003

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

#### **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

\$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE



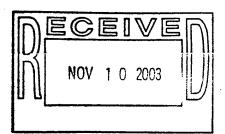




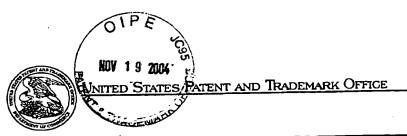
Rose Avila
Advanced Bionics Corporation
12740 San Fernando Road
Sylmar, CA 91342

Receipt is hereby acknowledged of the items listed below mailed October 29, 2003, for Atty Docket No. AB-233U4, Serial No. 10/609,452:

- •Response to Notice to File Missing Parts (1 page)
- •Copy of Notice to File Missing Parts (2 pages)
- •Declaration and Power of Attorney for Patent Application from inventors He, Haller, Parramon and Marnfeldt (2 pages)







UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P. DOX 1450 - Alexandia, Viginia 22313-1450 www.unipus.gov

APPLICATION NUMBER FILING OR 371(c) DATE FIRST NAMED APPLICANT ATTY DOCKET NO./TITLE

10/609,452

VALENCIA, CA 91355

06/27/2003

Tom Xiaohai He

AB-233U4

000023845 ADVANCED BIONICS CORPORATION 25129 RYE CANYON ROAD CONFIRMATION NO. 6610 WITHDRAWAL NOTICE

OC000000014103945\*

Date Mailed: 10/18/2004

# WITHDRAWAL OF PREVIOUSLY SENT NOTICE

The Notice mailed on 09/17/2003 was sent in error and is hereby withdrawn. A corrected Notice is enclosed. The time period for reply runs from the mail date of the corrected Notice. We apologize for any inconvenience this caused.

A copy of this notice MUST be returned with the reply.

Customer Service Center Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY





## **Deposit Account Statement**

**Requested Statement Month:** 

November 2003

**Deposit Account Number:** 

500648

Name:

ADVANCED BIONICS CORPORATION

**BRYANT R GOLD** 

Attention: Address:

12740 SAN FERNANDO ROAD

City:

SYLMAR CA

91342

State: Zip:

DATE :	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
11/04	106	10698098	AB-379U	1001	\$770.00	\$11,223.00
11/04	107	10698097	AB-378U	1001	\$770.00	\$10,453.00
11/04	147	10609457	AB-233US	1051	\$130.00	\$10,323.00
11/04	148	10609542	BHT-3126-145	1051	\$130.00	\$10,193.00
11/05	35	10607962	AB-2332U2	1051	\$130.00	\$10,063.00
11/05	169	10607963	AB-233U	1051	\$130.00	\$9,933.00
11/06	9	10609542	BHT-3126-145	1051	-\$130.00	\$10,063.00
11/06	10	10609452	AB-23304	1051	\$130.00	\$9,933.00
11/06	54	09844024	AB-138U	1455	\$200.00	\$9,733.00
11/07	22	PAYMENT		9203	-\$20,000.00	\$29,733.00
11/17	13	10444678	AB-092U1	1814	\$110.00	\$29,623.00
11/18	34	5513793		1552	\$2,090.00	\$27,533.00
11/18	35	6061596		1551	\$910.00	\$26,623.00
11/18	36	6070105		1551	\$910.00	\$25,713.00
11/18	37	6073050		1551	\$910.00	\$24,803.00
11/18	38	6078041		1551	\$910.00	\$23,893.00
11/18	57	10712078	AB-354U	1001	\$770.00	\$23,123.00
11/18	58	10712078	AB-354U	1202	\$36.00	\$23,087.00
11/19	53	60520228	AB-424P	1005	\$160.00	\$22,927.00
11/19	70	10713511	AB-265U	1001	\$770.00	\$22,157.00
11/19	71	10713511	AB-265U	1202	\$36.00	\$22,121.00
11/19	72	10713511	AB-265U	1201	\$172.00	\$21,949.00
11/19	73	10713512	AB-269U	1001	\$770.00	\$21,179.00
11/19	138	10712853	AB-124U2	1001	\$770.00	\$20,409.00
11/19	459	10364437		8021	\$40.00	\$20,369.00
11/19	462	10447336		8021	\$40.00	\$20,329.00